

**Audit and Compliance Committee  
August 14, 2025  
UWF Conference Center  
DRAFT Minutes**

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**Table of Contents**

Committee Meeting .....	1
I. Call to Order .....	2
II. Roll Call .....	2
III. Greeting.....	2
IV. Public Comment .....	2
V. Approval of Minutes.....	2
VI. New Business.....	3
A. Action Items .....	3
1. AUD-1: Internal Audit Report: Third Party Vendor Risk Management .....	3
2. AUD-2: Internal Audit Report: International Affairs Budget Review .....	3
3. AUD-3: Internal Audit Report: Foreign Travel & Screening of Foreign Researchers .....	3
4. AUD-4: 2024-25 Office of Compliance and Ethics Annual Report .....	4
5. AUD-5: 2025-2026 Office of Compliance and Ethics Work Plan.....	4
B. Information Items .....	4
1. INFO-1: Annual Fraud Awareness Training & Antifraud Framework Update .....	4
2. INFO-2: Internal Auditing and Management Consulting Update .....	4
VII. Good of the Order .....	4
VIII. Adjournment.....	4

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**Committee Meeting**

**9:36 a.m.**

The public was provided with information on the UWF Board of Trustees website to attend this public meeting in person in the UWF Conference Center or virtually through Zoom Webinar.

## **I. Call to Order**

- A. The meeting of the UWF Board of Trustees Audit and Compliance Committee was called to order at 9:36 a.m. by Committee Chair Chris Young.

## **II. Roll Call**

- A. Chair Young asked Anna Lochas to conduct roll call. Trustees Chris Young and Dick Baker were in attendance in person and Trustee Paul Hsu was in attendance virtually.
- B. Other Trustees in attendance included:
  - 1. Paul Bailey, Trista Bennett, Adam Kissel, Rebecca Matthews, Heather Riddell, Ashley Ross, Alonzie Scott, and Zack Smith were in attendance in person. Edward Fleming and Rachel Moya were in attendance virtually.
- C. Others in attendance included:
  - 1. Manny Diaz, Jr., Interim President; Jaromy Kuhl, Senior Vice President and Provost; Howard Reddy, Vice President of University Advancement; Betsy Bowers, Vice President of Finance and Administration; Mary Anderson, Interim Vice President and Dean of Students for Academic Engagement and Student Affairs; Clifford Humphrey, Chief of Staff and Vice President of Strategic Initiatives; Dave Scott, Associate Vice President for Athletics; Jamie Sprague, Chief Human Resource Officer; Julie Sheppard, Interim General Counsel; Anamarie Mixson, Assistant Vice President for the Office of the President; Abigail Megginson, Director, Government Relations; Alex Smith, Director of External Affairs; David Bryant, Chief Audit Executive; Matt Packard, Chief Compliance Officer; Dallas Snider, Vice Provost; Mohamed Khabou, Dean of Hal Marcus College of Science and Engineering; Allison Schwartz, Director of Undergraduate Research; Cass Boatwright, Director of Procurement and Contracts; and Anna Lochas, Board of Trustees Liaison.

## **III. Greeting**

- A. Chair Young welcomed everyone to the meeting and noted that there were five action items and two information items on the Audit and Compliance Committee agenda.

## **IV. Public Comment**

- A. Chair Young opened the floor for public comment. There was none.

## **V. Approval of Minutes**

- A. Chair Young reminded the committee members that they had been given the opportunity ahead of time to review the minutes of the May 8, 2025, Audit and Compliance Committee meeting. Chair Young asked for a

motion to approve the minutes as presented if there were no changes or corrections.

1. Motion by: Trustee Baker
2. Seconded by: Trustee Hsu
3. Motion passed unanimously.

## **VI. New Business**

### **A. Action Items**

#### **1. AUD-1: Internal Audit Report: Third Party Vendor Risk Management**

- a. Chief Audit Executive, David Bryant, presented the first action item on the Internal Audit Report for Third Party Vendor Risk Management.
- b. Chair Young asked for a motion to accept the internal audit report for Third Party Vendor Risk Management as presented.
  - i. Motion by: Trustee Baker
  - ii. Seconded by: Trustee Hsu
  - iii. Motion passed unanimously.

#### **2. AUD-2: Internal Audit Report: International Affairs Budget Review**

- a. David Bryant presented the second action item on the Internal Audit Report for International Affairs.
- b. Chair Young asked for a motion to accept the internal audit report for International Affairs as presented.
  - i. Motion by: Trustee Baker
  - ii. Seconded by: Trustee Hsu
  - iii. Motion passed unanimously.

#### **3. AUD-3: Internal Audit Report: Foreign Travel & Screening of Foreign Researchers**

- a. David Bryant presented the third action item on the Internal Audit Report for Foreign Travel and Screening of Foreign Researchers.
- b. Chair Young asked for a motion to accept the internal audit report for Foreign Travel and Screening of Foreign Researchers as presented.
  - i. Motion by: Trustee Hsu
  - ii. Seconded by: Trustee Baker
  - iii. Motion passed unanimously.

4. AUD-4: 2024-25 Office of Compliance and Ethics Annual Report

- a. Matt Packard, Chief Compliance Officer, presented the fourth action item on the 2024-25 Office of Compliance and Ethics Annual Report.
- b. Chair Young asked for a motion to approve the Office of Compliance and Ethics Annual Report.
  - i. Motion by: Trustee Hsu
  - ii. Seconded by: Trustee Baker
  - iii. Motion passed unanimously.

5. AUD-5: 2025-2026 Office of Compliance and Ethics Work Plan

- a. Matt Packard presented the fifth action item on the 2025-2026 Office of Compliance and Ethics Work Plan.
- b. Chair Young asked for a motion to approve the Office of Compliance and Ethics 2025-2026 Work Plan.
  - i. Motion by: Trustee Hsu
  - ii. Seconded by: Trustee Baker
  - iii. Motion passed unanimously.

B. Information Items

1. INFO-1: Annual Fraud Awareness Training & Antifraud Framework Update

- a. Betsy Bowers, Vice President for Finance & Administration presented the first information item which was the Annual Fraud Awareness Training and Antifraud Framework Update.

2. INFO-2: Internal Auditing and Management Consulting Update

- a. David Bryant presented the second information item, which was an update on Internal Auditing and Management Consulting.

**VII. Good of the Order**

- A. Chair Young identified that all agenda items had been discussed. Chair Young asked if the committee members had any additional business to discuss. No other business was discussed.

**VIII. Adjournment**

**10:25 a.m.**

- A. Chair Young thanked those in attendance for their participation. With no other business to discuss, Chair Young adjourned the meeting at 10:25 a.m.