

The Office of Compliance and ethics serve to maintain the integrity, reputation, and trust of our university. It helps ensure we adhere to laws, regulations, and ethical standards, fostering a safe and fair environment for all. Furthermore, OCE aids in mitigating risks, avoiding legal issues, and promoting transparency and accountability. These efforts enhance our institutional credibility and contribute to the overall betterment of our campus community by promoting responsible and principled behavior.

Regulatory Accountability

815

Unique Compliance
Obligations
Reviewed and Assigned
to 65+ Compliance Partners

Open Lines of Communication

145

Compliance
Calendar
Reminders

Issued in FY
2023-24

Employee Reporting

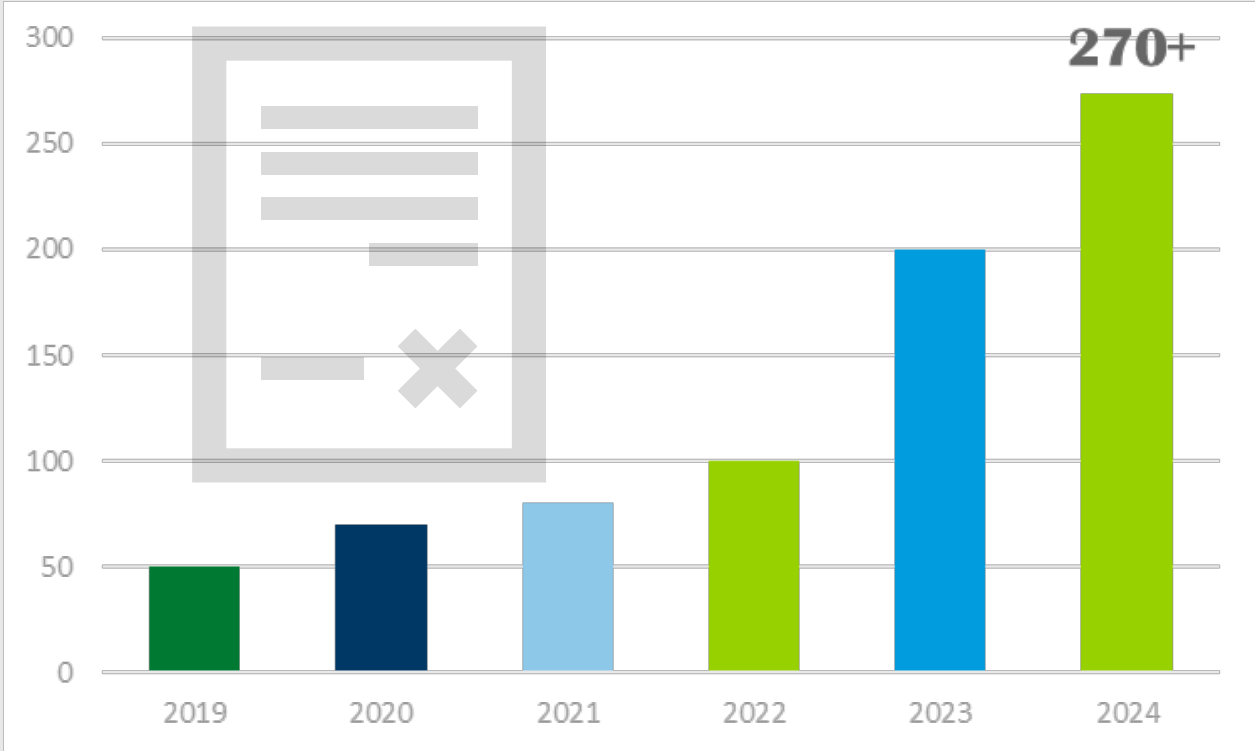
38%

Decrease in
anonymous helpline
reporting from 2023

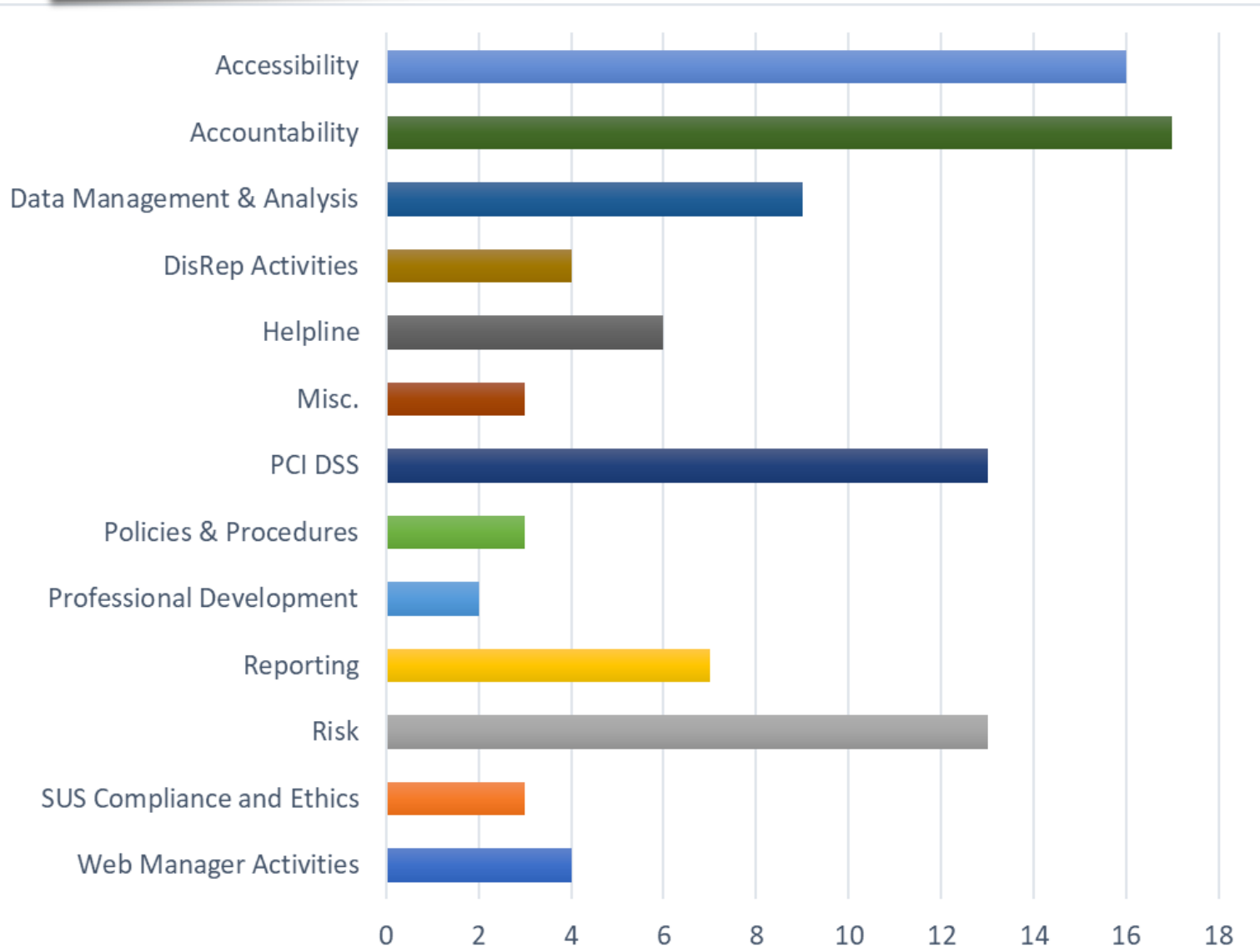
Accessibility Compliance: Procurement
Reviews

770⁺

Digital Accessibility
Contract Reviews
Conducted Since 2019 (over 270 in 2024)



Summary of Activities 2023-2024



Credit Card Data Compliance

Payment Card Data
Security



Compliance, Reporting, and
Training

7 Merchant
Accounts

20+ Dedicated
Devices

150+ Trained
Employees

Risk Mitigation & Management

140⁺

Risk Factors
Identified, Defined and
Ranked Annually

2017 - 2024 Risk Data



The Many Costs of Non-
Compliance

\$69,7933

The Potential Fine for *Each*
Clery Act Violation

The costs of non-compliance are wide-reaching and go beyond the obvious financial penalties. Compliance failures can impact reputation and intercede with our ability to operate efficiently and effectively. In response, Compliance and Ethics attempts to head off potential lawsuits or fines levied by proactively assessing our environment and making sure adequate controls, policies, and processes are in place. This ensures that we are prepared for both established and incoming laws, rules, regulations, or other compliance obligations.

Below are some common examples of the costs of non-compliance:

Government Scrutiny	Administrative Burden
Cultural Impact	Accreditation and Reporting
Employee Moral	Insurance Costs
Hefty Fines	Reputational Damage
Legal Fees	Cybersecurity Risks
Loss of Funding	Enrollment Decline